

Frank Capital Management LLC
Form CRS - Client Relationship Summary
June 2020

Frank Capital Management LLC (referred to as “we,” “us,” or “FCM”) is an investment advisor registered with the State of New Jersey. We feel it is important for you to understand how advisory and brokerage services and fees differ in order to determine which type of account is right for you. There are free and simple tools available to research firms and financial professionals at www.investor.gov/CRS which also provides educational materials about investment advisors, broker-dealers, and investing.

What investment services and advice can you provide me?

As a state registered investment advisor, FCM offers investment advisory services to individual investors for an ongoing asset-based fee based on the value of cash and securities in your account. Our advisory services include portfolio management, investment tax strategies, retirement planning, educational funding, and estate planning. If you open an account with FCM, we will work with you and study your financial situation, existing investments, goals, and risk tolerance. Using this information, we will construct a portfolio of investments that is monitored daily by FCM portfolio managers.

Our accounts are managed with discretionary authority which means we do not need to get your permission each time we buy or sell an investment in your account. You will sign the FCM investment management agreement which gives us this authority. This agreement will remain in place until FCM or you terminates the relationship. Please contact us for additional information about our advisory services by phone at 973.928.8770.

Questions to Ask Us:

- Given my situation, should I choose an investment advisory service? Why or why not?
- How will you choose the investments in my account?
- What is your relevant experience, including your licenses, education, and other qualifications? What do those qualifications mean?

What fees and costs will I pay?

Fees and costs affect the value of your account over time. Please ask your advisor to give you a personalized list of the fees and costs you will pay. FCM charges you an ongoing fee that is calculated and collected quarterly based on the value of your account. FCM's fee is 1.5% annually on the value of the investments in your account. Our fees may be open to negotiation in certain circumstances. FCM therefore has an incentive to increase the value of your account over time which increases our fees over time. The quarterly fees will be automatically deducted from your account, in accordance with the FCM investment management agreement that you will sign.

The broker-dealer or custodian that holds your assets can charge you a transaction fee when we buy or sell an investment for you. The broker-dealer's transaction fees are in addition to our advisory fee for our investment advisory service.

You could also pay charges imposed by the broker-dealer holding your accounts for certain investments and maintaining your account. Some investments, such as mutual funds and exchange-traded funds charge additional fees that will reduce the value of your investments over time.

Questions to Ask Us:

- Help me understand how these fees and expenses will affect my investments. If I give you \$10,000 to invest, how much will I be charged in fees and expenses and how much will be invested for me?
- How do you calculate the quarterly fee I am charged?

What are your legal obligations to me when acting as my investment advisor? How does your firm make money and what conflicts of interest do you have?

When we act as your investment advisor we have a fiduciary duty to place the interest of our clients ahead of our own and the firm. Additional information about our conflicts of interest can be found in our Firm Brochure under Item 11 which is available by calling us at 973.928.8770.

FCM earns revenue from advisory fees we collect from our clients' accounts each quarter.

Do you or your financial professionals have a legal or disciplinary history?

No, neither FCM nor its financial professionals have any legal or disciplinary history. Visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Additional Information

You can find additional information about our firm's investment advisory services on the U.S. Securities and Exchange Commission website at www.adviserinfo.sec.gov by searching CRD #151624. You may also contact our firm at (973) 928-8770 and talk to us.

Questions to Ask Us:

- Who is my primary contact person?
- Is he or she a representative of an investment advisor or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?